

FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
As on financial year ended on 31.03.2019

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:

1	CIN	L65100DL1994PLC058837
2	Registration Date	05/05/1994
3	Name of the Company	FRONTLINE SECURITIES LIMITED
4	Category/Sub-category of the Company	COMPANY LIMITED BY SHARES NON-GOVERNMENT COMPANY
5	(i) Address of the Registered office & contact details	M-6, IIND FLOOR, M BLOCK MARKET, GREATER KAILASH-II, NEW DELHI 110048 E-MAIL ID: secretarial@fsltechnologies.com
	(ii) Address for correspondence	B-22, SECTOR -4, NOIDA -201301, UTTAR PRADESH , PHN : 0120-2534067
6	Whether listed company	YES (Listed on BSE)
7	Name, Address & contact details of the Registrar & Transfer Agent, if any.	LINK INTIME INDIA PVT. LTD , NOBLE HEIGHTS, 1ST FLOOR, PLOT NH 2, C-1 BLOCK LSC, NEAR SAVITRI MARKET, JANAKPURI, NEW DELHI-110058, PH: +91-11 41410592/93/94, FAX: +91-11- 41410591

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1.	MUTUAL FUND DISTRIBUTION	6499	89.03%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

SN	Name and address of the Company	CIN/GLN	Holding/Subsidiary / Associate	% of shares held	Applicable Section
1	NIL	NIL	NIL	NIL	NIL

IV. SHARE HOLDING PATTERN
(Equity share capital breakup as percentage of total equity)

(i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year[As on 1-April-2018]				No. of Shares held at the end of the year[As on 31-March-2019]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/ HUF	3,884,414	-	3,884,414	65.53%	7,768,828	-	7,768,828	65.53%	
b) Central Govt	-	-	-	-	-	-	-	-	
c) State Govt(s)	-	-	-	-	-	-	-	-	
d) Bodies Corp.	410,043	-	410,043	6.92%	820,886	-	820,886	6.93%	
e) Banks / FI	-	-	-	-	-	-	-	-	
f) Any other	-	-	-	-	-	-	-	-	
Sub Total (A) (1)	4,294,457	-	4,294,457	72.45%	8,589,714	-	8,589,714	72.46%	
(2) Foreign									
a) NRI Individuals	32,820	-	32,820	0.55%	65,640	-	65,640	0.55%	
b) Government	-	-	-	-	-	-	-	-	
c) Institutions	-	-	-	-	-	-	-	-	
d) Foreign Portfolio Investor	-	-	-	-	-	-	-	-	
e) Any other	-	-	-	-	-	-	-	-	
Sub Total (A) (2)	32,820	-	32,820	0.55%	65,640	-	65,640	0.55%	
TOTAL (A)	4,327,277	-	4,327,277	73.00%	8,655,354	-	8,655,354	73.01%	
B. Public Shareholding									
1. Institutions									
a) Mutual Funds/UTI	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Banks / FI	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) Central Govt	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) State Govt(s)	-	-	-	0.00%	-	-	-	0.00%	0.00%
e) Venture Capital Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
f) Insurance Companies	-	-	-	0.00%	-	-	-	0.00%	0.00%
g) Alternate Investments Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
h) FII's	-	-	-	0.00%	-	-	-	0.00%	0.00%
i) Foreign Venture Capital Investors	-	-	-	0.00%	-	-	-	0.00%	0.00%
j) Foreign Portfolio Investor	-	-	-	0.00%	-	-	-	0.00%	0.00%
k) Provident Fund/Pension Fund	-	-	-	0.00%	-	-	-	0.00%	0.00%
l) Others (specify)	-	-	-	0.00%	-	-	-	0.00%	0.00%
Sub-total (B)(1):-	-	-	-	0.00%	-	-	-	0.00%	0.00%
2. Central Government/ State Government	-	-	-	0.00%	-	-	-	0.00%	0.00%
Sub-total (B)(2):-	-	-	-	0.00%	-	-	-	0.00%	0.00%
3. Non-Institutions									
a) Individuals	-	-	-	0.00%	-	-	-	0.00%	0.00%
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	298,295	185,323	483,618	8.16%	613,102	297,146	910,248	7.68%	-0.48%
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	722,207	-	722,207	12.18%	1,310,134	-	1,310,134	11.05%	-1.13%
b) NBFC Registered with RBI	0	-	-	0.00%	446,701	-	446,701	3.77%	+3.77%
c) Overseas Depositories(holding DRs)	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) Any Other (Specify) IEPF	116,004	-	116,004	1.95%	253,476	-	253,476	2.13%	+0.18%
Non Resident Indians(Repatriation)	181,984	-	181,984	3.07%	166,968	-	166,968	1.41%	-1.66%

* Refer Note No 1 Below

Non Resident Indians(Non Repat)	1,001	-	1,001	0.02%	2,002	-	2,002	0.02%	0.00%
HUF	5,153	-	5,153	0.09%	9,603	-	9,603	0.08%	-0.01%
Clearing Members	7,528	-	7,528	0.13%	3,603	-	3,603	0.03%	-0.10%
Bodies Corporate	70,774	12,300	83,074	1.40%	86,603	11,000	97,603	0.82%	-0.58%
Sub-total (B)(3):-	1,402,946	197,623	1,600,569	27.80%	2,892,192	308,146	3,200,338	26.99%	-0.01%
Total Public (B)	1,402,946	197,623	1,600,569	27.80%	2,892,192	308,146	3,200,338	26.99%	-0.01%
C. Non-Promoter - Non Public									
1) Custodian/DR Holder	-	-	-	0.00%	-	-	-	0.00%	0.00%
2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	-	-	-	0.00%	-	-	-	0.00%	0.00%
Grand Total (A+B+C)	5,730,223	197,623	5,927,846	100.00%	11,547,546	308,146	11,855,692	100.00%	

*Note No. 1. During the year under review, there has been a change in the share capital of the company. One equity share of Rs.10/- each is now sub divided into 2 equity shares of Rs. 5/- each. As on 31st March 2019, the paid up share capital of the company comprises of 1,18,55,692 equity shares of Rs. 5/- each in comparison to 59,27,846 equity share of Rs. 10/- each as on 31st March, 2019. In view of this sub division, figures as on 31st march, 2018 and 31st March, 2019 informed in MGT-9 are not comparable. Accordingly no data has been provided in the "% change during the year

(ii) Shareholding of Promoter

SN	Shareholder's Name	Shareholding at the beginning of the year (as on 01st April, 2018)			Shareholding at the end of the year (as on 31st March, 2019)			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total share of company	No. of Shares	% of total Shares of company	% of Shares Pledged / encumbered to total share of company	
1	Rakesh K. Jain	2,495,910	42.10%	0	4,991,820	42.10%	0	* Refer Note No.1
2	Prema Jain	1,388,504	23.42%	0	2,777,008	23.43%	0	
3	Hope Consultants Limited	410,043	6.92%	0	820,886	6.93%	0	
4	Vidna Jain	24,510	0.41%	0	49,020	0.41%	0	
5	Aridhi Jain	8,310	0.14%	0	16,620	0.14%	0	
6	Total	4,327,277	73.00%	0	8,655,354	73.01%	0	

*Note 1: During the year under review, there has been a change in the share capital of the company. One equity share of Rs. 10/- each is now sub-divided into 2 equity shares of Rs. 5/- each. As on 31st March, 2019, the paid up share capital of the company comprises of 1,18,55,692 equity shares of Rs. 5/- each in comparison to 59,27,846 equity shares of Rs. 10/- each as on 31st March, 2019. In view of this sub-division, figures as on 31st March, 2018 and 31st March, 2019 informed in MGT-9 are not comparable. Accordingly no data has been provided in the column "% Change during the Year".

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

SN	Particulars	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of Shares	% of total shares	No. of shares	% of total shares
1	Rakesh K. Jain						
	At the beginning of the year	01/04/2018		2,495,910	42.10%		
	Changes during the year	26/10/2018	Alloted due to sub-division	2,495,910		4,991,820	42.10%
	At the end of the year	31/03/2019				4,991,820	42.10%
2	Prerna Jain						
	At the beginning of the year	01/04/2018		1,388,504	23.42%		
	Changes during the year	26/10/2018	Alloted due to sub-division	1,388,504		2,777,008	23.43%
	At the end of the year	31/03/2019				2,777,008	23.43%
3	Hope Consultants Limited						
	At the beginning of the year	01/04/2018		4,10,043	6.92%		
	Changes during the year	26/10/2018	Alloted due to sub-division	410,043		8,20,886	6.93%
		29/03/2019	Transfer	800		820,886	6.93%
	At the end of the year	31/03/2019				820,886	6.93%

4	Aridhi Jain						
	At the beginning of the year	01/04/2018		8,310	0.14%		
	Changes during the year	26/10/2018	Alloted due to sub-division	8,310		16,620	0.14%
	At the end of the year	31/03/2019				16,620	0.14%
5	Vidha Jain						
	At the beginning of the year	01/04/18		24,510	0.41%		
	Changes during the year	26/10/2018	Alloted due to sub-division	24,510		49,020	0.41%
	At the end of the year	31/03/2019				49,020	0.41%

Note No. 2: The paid up share capital of the company comprises of 1,18,55,692 equity shares of Rs. 5/- each in comparison to 59,27,846 equity shares of Rs. 10/- each as on 31st March, 2019. In view of this sub-division, the "% of total number of shares" before and after sub-division remains the same.

(iv) Shareholding Pattern of top ten Shareholders

(Other than Directors, Promoters and Holders of GDRs and ADRs):

SN	For each of the Top 10 shareholders	Date	Reason	Shareholding at the beginning of the year (as on 1st April , 2018)		Cumulative Shareholding during the year (as on 31st March, 2019)	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Ajai Chowdhry						
	At the beginning of the year	01/04/2018		2,48,600	4.20%		
	Changes during the Year	26/10/2018	Alloted due to sub-division	2,48,600		4,97,200	4.20%
	At the end of the year	31/03/2019				4,97,200	4.20%
2	Anita Mohan						
	At the beginning of the year	01/04/2018		1,80,684	3.05%		
	Changes during the year	26/10/2018	Alloted due to sub-division	1,80,684		3,61,368	3.05%
		14/12/2018	Transfer	(1,950,00)		1,66,368	1.40%
	At the end of the year	31/03/2019				1,66,368	1.40%
3	Manish Jain						
	At the beginning of the year	01/04/2018		1,67,754	2.83%		
	Changes during the Year	01/06/2018	Transfer	18,486		1,49,268	2.52%
		10/10/2018	Transfer	14,965		1,64,233	2.77%
		26/10/2018	Alloted due to sub-division	164,233		328,466	2.77%
		30/11/2018	Transfer	4,935		3,33,401	2.81%
		07/12/2018	Transfer	5,513		3,38,914	2.85%
		14/12/2018	Transfer	9,890		3,48,804	2.94%
		21/12/2018	Transfer	1,572		3,50,376	2.96%
		28/12/2018	Transfer	120		3,50,496	2.96%
	At the end of the year	31/03/2019				3,50,496	2.96%
4	Gita Chowdhry						
	At the beginning of the year	01/04/2018		1,65,700	2.80%		
	Changes during the year	26/10/2018	Alloted due to sub-division	1,65,700		3,31,400	2.80%

	At the end of the year	31/03/2019			3,31,400	2.80%
5	Investor Education And Protection Fund Authority Ministry Of Corporate Affairs					
	At the beginning of the year	01/04/2018		1,16,004	1.96%	
	Changes during the year	26/10/2018	Alloted due to sub-division	1,16,004		2,32,008 1.96%
		16/11/2018	Transfer	21,468		2,53,476 2.13%
	At the end of the year	31/03/2019				2,53,476 2.13%
6	Icena Agarwal					
	At the beginning of the year	01/04/2018		39,490	0.66%	
	Changes during the year	26/10/2018	Alloted due to sub-division	39,490		78,980 0.66%
		14/12/2018	Transfer	(78,980)		- -
	At the end of the year	31/03/2019				- 0.00%
7	Sharad Jain					
	At the beginning of the year	01/04/2018		32,935	0.56%	
	Changes during the year	26/10/2018	Alloted due to sub-division	32,935		65,870 0.56%
	At the end of the year	31/03/2019				65,870 0.56%
8	Pravin Agarwal					
	At the beginning of the year	01/04/2018		32,584	0.55%	
	Changes during the year	26/10/2018	Alloted due to sub-division	32,584		65,168 0.55%
	At the end of the year	31/03/2019				65,168 0.55%
9	Renu Agrawala					
	At the beginning of the year	01/04/2018		20,000	0.34%	
	Changes during the year	26/10/2018	Alloted due to sub-division	20,000		40,000 0.34%
		14/12/2018	Transfer	(40,000)		- 0.00%
		22/03/2019	Transfer	3,274		3,274 0.03%
		29/03/2019	Transfer	2,972		6,246 0.05%
	At the end of the year	31/03/2019				6,246 0.05%
10	Star Finvest Private Limited					
	At the beginning of the year	01/04/2018		19,000	0.32%	
	Changes during the year	26/10/2018	Alloted due to sub-division	19,000		38,000 0.32%
	At the end of the year	31/03/2019				38,000 0.32%
11	CFS Investments Private Limited					
	At the beginning of the year	01/04/2018		15,679	0.26%	
	Changes during the year	26/10/2018	Alloted due to sub-division	15,679		31,358 0.26%
		02/11/2018	Transfer	3,600		34,958 0.29%
	At the end of the year	31/03/2019				34,958 0.29%
12	R N Consultants Private Limited					
	At the beginning of the year	01/04/2018				
	Changes during the Year	21/12/2018	Transfer	4,25,101	3.59%	4,25,101 3.59%
	At the end of the year	31/03/2019				4,25,101 3.59%

Note No. 2: The paid up share capital of the company comprises of 1,18,55,692 equity shares of Rs. 5/- each in comparison to 59,27,846 equity shares of Rs. 10/- each as on 31st March, 2019. In view of this sub-division, the "% the total number of shares" before and after sub-division remains the same.

(v) Shareholding of Directors and Key Managerial Personnel:

SN	Shareholding of each Directors and each Key Managerial Personnel	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of Shares	% of total shares	No. of shares	% of total shares
1	Rakesh K. Jain						
	At the beginning of the year	01/04/2018		2,495,910	42.10%		
	Changes during the year	26/10/2018	Alloted due to sub-division	2,495,910		4,991,820	42.10%
	At the end of the year	31/03/2019				4,991,820	42.10%
2	Sarabjeet Kaur						
	At the beginning of the year	01/04/2018		-	0.00%	-	0.00%
	Changes during the year			-		-	0.00%
	At the end of the year	31/03/2019		-	0.00%	-	0.00%
3	Baljit Singh Bedi						
	At the beginning of the year	01/04/2018		600	0.01%		
	Changes during the year	26/10/2018	Alloted due to sub-division	600		1,200	0.01%
	At the end of the year	31/03/2019				1,200	0.01%
4	Gauri Shanker Pandey						
	At the beginning of the year	01/04/2018		-	0.00%	-	0.00%
	Changes during the year			-		-	0.00%
	At the end of the year	31/03/2019		-		-	0.00%
5	Charanjeet Singh Bedi						
	At the beginning of the year	01/04/2018		-	0.00%	-	0.00%
	Changes during the year			-		-	0.00%
	At the end of the year	31/03/2019		-		-	0.00%
6	Atul K. Jain						
	At the beginning of the year	01/04/2018		10	0.00%		
	Changes during the year	26/10/2018	Alloted due to sub-division	10		20	0.00%
	At the end of the year	31/03/2019				20	0.00%
7	Arun K. Jain						
	At the beginning of the year	01/04/2018		210	0.00%	-	-
	Changes during the year	26/10/2018		210	0.00%	420	0.00%
	At the end of the year	31/03/2019				420	0.00%
8	Richa Arora						
	At the beginning of the year	01/04/2018		-	0.00%	-	0.00%
	Changes during the year			-		-	0.00%
	At the end of the year	31/03/2019		-	0.00%	-	0.00%
9	Mayank Agarwal						
	At the beginning of the year	01/04/2018		-	0.00%	-	0.00%
	Changes during the year			-		-	0.00%
	At the end of the year	31/03/2019		-	0.00%	-	0.00%+

Note No. 2: The paid up share capital of the company comprises of 1,18,55,692 equity shares of Rs. 5/- each in comparison to 59,27,846 equity shares of Rs. 10/- each as on 31st March, 2019. In view of this sub-division, the "% of total number of shares" before and after sub-division remains the same.

V. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL
A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount (Rs/Lac)	
		Name	Gauri Shanker Pandey		Richa Arora
		Designation	Whole Time Directors		Whole-time Director
1	Gross salary		7.22	5.72	12.94
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961		-	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961		-	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961		-	-	-
2	Stock Option		-	-	-
3	Sweat Equity		-	-	-
4	Commission		-	-	-
	- as % of profit		-	-	-
	- others, specify		-	-	-
5	Others, please specify (Conveyance Reimbursement)		-	-	-
	Total (A)		7.22	5.72	12.94
	Ceiling as per the Act				

B. Remuneration to Directors

SN.	Particulars of Remuneration	Name of Directors.			Total Amount (Rs/Lac)
1	Independent Directors	NIL	NIL	NIL	-
	Fee for attending board committee meetings	NIL	NIL	NIL	-
	Commission	NIL	NIL	NIL	-
	Others, please specify	NIL	NIL	NIL	-
	Total (1)	NIL	NIL	NIL	-
2	Other Non-Executive Directors	NIL	NIL	NIL	-
	Fee for attending board committee meetings	NIL	NIL	NIL	-
	Commission	NIL	NIL	NIL	-
	Others, please specify	NIL	NIL	NIL	-
	Total (2)	NIL	NIL	NIL	-
	Total (B)=(1+2)	NIL	NIL	NIL	-
	Total Managerial Remuneration	NIL	NIL	NIL	-
	Overall Ceiling as per the Act	NIL	NIL	NIL	-

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

SN.	Particulars of Remuneration	Name of Key Managerial Personnel		Total Amount (Rs/Lac)
		Designation	CFO (Chief Financial Officer)	
1	Gross salary	5.62		5.62
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	- as % of profit	-	-	-
	- others, specify	-	-	-
5	Others, please specify	-	-	-
	Total	5.62	-	5.62

VI. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty	NIL	NIL	NIL	NIL	NIL
Punishment					
Compounding					
B. DIRECTORS					
Penalty	NIL	NIL	NIL	NIL	NIL
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty	NIL	NIL	NIL	NIL	NIL
Punishment					
Compounding					