

FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
As on financial year ended on 31.03.2020

Annexure V

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:		
1	CIN	L65100DL1994PLC058837
2	Registration Date	5/5/1994
3	Name of the Company	FRONTLINE SECURITIES LIMITED
4	Category/Sub-category of the Company	COMPANY LIMITED BY SHARES NON-GOVERNMENT COMPANY
5	(i) Address of the Registered office & contact details	M-6, IIND FLOOR, M BLOCK MARKET, GREATER KAILASH-II, NEW DELHI-110048, E-MAIL ID: secretarial@fsltechnologies.com
	(ii) Address for correspondence	B-22, SECTOR -4, NOIDA -201301, UTTAR PRADESH , PHN : 0120-2534067
6	Whether listed company	YES (Listed on BSE)
7	Name, Address & contact details of the Registrar & Transfer Agent, if any.	LINK INTIME INDIA PVT. LTD , NOBLE HEIGHTS, 1ST FLOOR, PLOT NH 2, C-1 BLOCK LSC, NEAR SAVITRI MARKET, JANAKPURI, NEW DELHI-110058 , PH: +91-11 41410592/93/94, FAX: +91-11- 41410591

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY			
(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)			
S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
2	Mutual Fund Distribution	6499	87.38%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES					
SN	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1	NIL	NIL	NIL	NIL	NIL

IV. SHARE HOLDING PATTERN

(Equity share capital breakup as percentage of total equity)

(i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year [As on 31-March-2019]				No. of Shares held at the end of the year [As on 31-March-2020]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/ HUF	7,768,828	-	7,768,828	65.53%	6,520,938	-	6,520,938	65.40%	-0.13%
b) Central Govt	-	-	-	-	-	-	-	-	-
c) State Govt(s)	-	-	-	-	-	-	-	-	-
d) Bodies Corp.	820,886	-	820,886	6.92%	689,156	-	689,156	6.91%	-0.01%
e) Banks / FI	-	-	-	-	-	-	-	-	-
f) Any other	-	-	-	-	-	-	-	-	-
Sub Total (A) (1)	8,589,714	-	8,589,714	72.45%	7,210,094	-	7,210,094	72.31%	
(2) Foreign									
a) NRI Individuals	65,640	-	65,640	0.55%	65,640	-	65,640	0.66%	0.11%
b) Government	-	-	-	-	-	-	-	-	-
c) Institutions	-	-	-	-	-	-	-	-	-
d) Foreign Portfolio Investor	-	-	-	-	-	-	-	-	-
e) Any other	-	-	-	-	-	-	-	-	-
Sub Total (A) (2)	65,640	-	65,640	0.55%	65,640	-	65,640	0.66%	0.11%
TOTAL (A)	8,655,354	-	8,655,354	73.00%	7,275,734	-	7,275,734	72.97%	
B. Public Shareholding									
1. Institutions									
a) Mutual Funds/UTI	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Banks / FI	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) Central Govt	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) State Govt(s)	-	-	-	0.00%	-	-	-	0.00%	0.00%
e) Venture Capital Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
f) Insurance Companies	-	-	-	0.00%	-	-	-	0.00%	0.00%
g) Alternate Investments Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
h) FIIs	-	-	-	0.00%	-	-	-	0.00%	0.00%
i) Foreign Venture Capital Investors	-	-	-	0.00%	-	-	-	0.00%	0.00%
j) Foreign Portfolio Investor	-	-	-	0.00%	-	-	-	0.00%	0.00%
k) Provident Fund/Pension Fund	-	-	-	0.00%	-	-	-	0.00%	0.00%
l) Others (specify)	-	-	-	0.00%	-	-	-	0.00%	0.00%
Sub-total (B)(1):-	-	-	-	0.00%	-	-	-	0.00%	0.00%
2. Central Government/ State Government	-	-	-	0.00%	-	-	-	0.00%	0.00%
Sub-total (B)(2):-	-	-	-	0.00%	-	-	-	0.00%	0.00%

3. Non-Institutions									
a) Individuals	-	-	-	0.00%	-	-	-	0.00%	0.00%
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	613,102	297,146	910,248	7.68%	487,933	263,034	750,967	7.53%	-0.15%
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	1,310,134	-	1,310,134	11.05%	1,129,096	-	1,129,096	11.32%	0.27%
b) NBFC Registered with RBI	446701	-	446,701	3.77%	446701	-	446,701	4.48%	0.71%
c) Overseas Depositories(holding DRs)	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) Any Other (Specify)									
IEPF	253476	-	253,476	2.14%	272228	-	272,228	2.73%	0.59%
Non Resident Indians(Repat)	166,968	-	166,968	1.41%	600	-	600	0.01%	-1.40%
Non Resident Indians(Non Repat)	2,002	-	2,002	0.02%	2,002	-	2,002	0.02%	0.00%
HUF	9,603	-	9,603	0.08%	9,998	-	9,998	0.10%	0.02%
Clearing Members	3,603	-	3,603	0.03%	-	-	-	0.00%	-0.03%
Bodies Corporate	86,603	11,000	97,603	0.82%	72,415	10,800	83,215	0.84%	-0.02%
Sub-total (B)(3):-	2,892,192	308,146	3,200,338	26.99%	2,420,973	273,834	2,694,807	27.03%	S
Total Public (B)	2,892,192	308,146	3,200,338	26.99%	2,420,973	273,834	2,694,807	27.03%	0.04%
C. Non-Promoter - Non Public									
1) Custodian/DR Holder	-	-	-	0.00%	-	-	-	0.00%	0.00%
2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	-	-	-	0.00%	-	-	-	0.00%	0.00%
Grand Total (A+B+C)	11,547,546	308,146	11,855,692	100.00%	9,696,707	273,834	9,970,541	100.00%	
During the year, the company has bought back 18,85,151 equity shares. Hence, the shareholding was changed due to the buy back of shares.									

(ii) Shareholding of Promoter

SN	Shareholder's Name	Shareholding at the beginning of the year (as on 01st April, 2019)			Shareholding at the end of the year (as on 31st March, 2020)			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Rakesh K. Jain	4,991,820	42.10%	0	4,189,995	42.02%	0	-0.08%
2	Prerna Jain	2,777,008	23.43%	0	2,330,943	23.38%	0	-0.05%
3	Hope Consultants Limited	820,886	6.93%	0	689,156	6.91%	0	-0.02%
4	Vidha Jain	49,020	0.41%	0	49,020	0.49%	0	0.08%
5	Aridhi Jain	16,620	0.14%	0	16,620	0.17%	0	0.03%
6	Total	8,655,354	73.01%	0	7,275,734	72.97%	0	

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

SN	Particulars	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of Shares	% of total shares	No. of shares	% of total shares
1	Rakesh K. Jain						
	At the beginning of the year	4/1/2019		4,991,820	42.10%	4,991,820	42.10%
	Changes during the year	7/5/2019	Transfer	-801825		4,189,995	42.10%
	At the end of the year	3/31/2020				4189995	42.02%
2	Prerna Jain						
	At the beginning of the year	4/1/2019		2,777,008	23.43%	2,777,008	23.43%
	Changes during the year	7/5/2019	Transfer	(446,065)		2,330,943	23.38%
	At the end of the year	3/31/2020				2,330,943	23.38%
3	Hope Consultants Limited						
	At the beginning of the year	4/1/2019		820,886	6.93%	820,886	6.93%
	Changes during the year	7/5/2019	Transfer	131,730		689,156	6.91%
	At the end of the year	3/31/2020				689,156	6.91%
4	Aridhi Jain						
	At the beginning of the year	4/1/2019		16,620	0.14%	16,620	0.14%
	Changes during the year					16,620	0.17%
	At the end of the year	3/31/2020				16,620	0.17%
5	Vidha Jain						
	At the beginning of the year	01/04/19		49,020	0.41%	49,020	0.41%
	Changes during the year					49,020	0.49%
	At the end of the year	3/31/2020				49,020	0.49%

(iv) Shareholding Pattern of top ten Shareholders*(Other than Directors, Promoters and Holders of GDRs and ADRs):*

SN	For each of the Top 10 shareholders	Date	Reason	Shareholding at the beginning of the year (as on 1st April , 2019)		Cumulative Shareholding during the year (as on 31st March, 2020)	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Ajai Chowdhry						
	At the beginning of the year	01/04/19		497,200	4.99%	497,200	4.99%
	Changes during the Year					497,200	4.99%
	At the end of the year	3/31/2020				497,200	4.99%
2	R N Consultants Private Limited						
	At the beginning of the year	04/01/19		425,101	4.26%	425,101	4.26%
	Changes during the Year					425,101	4.26%
	At the end of the year	3/31/2019				425,101	4.26%
3	Gita Chowdhry						
	At the beginning of the year	4/1/2019		331,400	3.32%	331,400	3.32%
	Changes during the year					331,400	3.32%
	At the end of the year	3/31/2020				331,400	3.32%
4	Manish Jain						
	At the beginning of the year	4/1/2019		350,496	3.51%	350,496	3.51%
	Changes during the year	7/5/2019	Transfer	-50,000		300,496	3.01%
	At the end of the year	3/31/2020				300,496	3.01%
5	Investor Education And Protection Fund Authority						
	At the beginning of the year	4/1/2019		253,476	2.54%	253,476	2.54%
	Changes during the year	9/20/2019	Transfer	18,752		272,228	2.73%
	At the end of the year	3/31/2020				272,228	2.73%
6	S R Credits Private Limited						
	At the beginning of the	4/1/2019		396	0.00%	396	0.00%
	Changes during the year	7/5/2019	Transfer	38,000		38,396	0.39%
	At the end of the year	3/31/2020				38,396	0.39%
7	Subhash Arora Investment (India) Pvt Ltd						
	At the beginning of the year	4/1/2019		21,600	0.22%	21,600	0.22%
	Changes during the Year	7/6/2019		-	-	21,600	0.22%
	At the end of the year	3/31/2020		-	-	21,600	0.22%
8	CFS Investments Pvt Ltd						
	At the beginning of the year	4/1/2019		34,958	0.35%	34,958	0.35%
	Changes during the year	7/5/2019	Transfer	(19,700)		15,258	0.15%
		7/12/2019	Transfer	100		15,358	0.15%
		11/8/2019	Transfer	200		15,558	0.16%
		11/22/2019	Transfer	2309		17,867	0.18%
		11/29/2019	Transfer	(1)		17,866	0.18%
		2/7/2020	Transfer	693		18,559	0.19%
		2/14/2020	Transfer	502		19,061	0.19%
		2/28/2020	Transfer	1001		20,062	0.20%
		3/6/2020	Transfer	162		20,224	0.20%
		At the end of the year	3/31/2020				20,224

9	Shailja Singh						
	At the beginning of the year	4/1/2019		20,000	0.20%	20,000	0.20%
	Changes during the year			-		20,000	0.20%
	At the end of the year	3/31/2020				20,000	0.20%
10	Vimlaben Rameshkumar Shah						
	At the beginning of the year	4/1/2019		17,596	0.18%	17,596	0.18%
	Changes during the year	26-07-2019	Transfer	(2)		17,594	0.18%
		02-08-2019	Transfer	(99)		17,495	0.18%
		16-08-2019	Transfer	(4)		17,491	0.17%
	At the end of the year	3/31/2020				17,491	0.17%

(v) Shareholding of Directors and Key Managerial Personnel:

SN	Shareholding of each Directors and each Key Managerial Personnel	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Rakesh K. Jain						
	At the beginning of the year	4/1/2019		4,991,820	42.10%	4,991,820	42.10%
	Changes during the year	7/5/2019	Transfer	-801825		4,189,995	42.02%
	At the end of the year	3/31/2020				4189995	42.02%
2	Sarabjeet Kaur						
	At the beginning of the year	4/1/2019		-	0.00%	-	0.00%
	Changes during the year			-		-	0.00%
	At the end of the year	3/31/2020		-	0.00%	-	0.00%
3	Baljit Singh Bedi						
	At the beginning of the year	4/1/2019		1,200	0.01%	1,200	0.01%
	Changes during the year					1,200	0.01%
	At the end of the year	3/31/2020				1,200	0.01%
4	Gauri Shanker Pandey						
	At the beginning of the year	4/1/2019		-	0.00%	-	0.00%
	Changes during the year			-		-	0.00%
	At the end of the year	3/31/2020		-		-	0.00%
5	Charanjeet Singh Bedi						
	At the beginning of the year	4/1/2019		-	0.00%	-	0.00%
	Changes during the year			-		-	0.00%
	At the end of the year	3/31/2020		-		-	0.00%
6	Atul K. Jain						
	At the beginning of the year	4/1/2019		20	2000.00%	20	0.00%
	Changes during the year					20	0.00%
	At the end of the year	3/31/2020				20	0.00%
7	Arun K. Jain						
	At the beginning of the year	4/1/2019		420	0.00%	420	0.00%
	Changes during the year					420	0.00%
	At the end of the year	3/31/2020				420	0.00%

V. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**A. Remuneration to Managing Director, Whole-time Directors and/or Manager:**

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager	Total Amount
	Name	Gauri Shanker Pandey	(Rs/Lac)
	Designation	Whole Time Directors	
1	Gross salary	7.22	7.22
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	0	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	0	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	0	-
2	Stock Option	0	-
3	Sweat Equity	0	-
4	Commission	0	-
	- as % of profit	0	-
	- others, specify	0	-
5	Others, please specify (Conveyance Reimbursement)	0	-
	Total (A)	7.22	7.22
	Ceiling as per the Act		

B. Remuneration to Directors

SN.	Particulars of Remuneration	Name of Directors			Total Amount
					(Rs/Lac)
1	Independent Directors	NIL	NIL	NIL	-
	Fee for attending board committee meetings	NIL	NIL	NIL	-
	Commission	NIL	NIL	NIL	-
	Others, please specify	NIL	NIL	NIL	-
	Total (1)	NIL	NIL	NIL	-
2	Other Non-Executive Directors	NIL	NIL	NIL	-
	Fee for attending board committee meetings	NIL	NIL	NIL	-
	Commission	NIL	NIL	NIL	-
	Others, please specify	NIL	NIL	NIL	-
	Total (2)	NIL	NIL	NIL	-
	Total (B)=(1+2)	NIL	NIL	NIL	-
	Total Managerial Remuneration	NIL	NIL	NIL	-
	Overall Ceiling as per the Act	NIL	NIL	NIL	-

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

SN.	Particulars of Remuneration	Name of Key Managerial Personnel		Total Amount
		Designation	Company Secretary	(Rs/Lac)
1	Gross salary		4.8	4.80
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961		-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961		-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961		-	-
2	Stock Option		-	-
3	Sweat Equity		-	-
4	Commission		-	-
	- as % of profit		-	-
	- others, specify		-	-
5	Others, please specify		-	-
	Total		4.80	4.80

VI. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty	NIL	NIL	NIL	NIL	NIL
Punishment					
Compounding					
B. DIRECTORS					
Penalty	NIL	NIL	NIL	NIL	NIL
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty	NIL	NIL	NIL	NIL	NIL
Punishment					
Compounding					