

Application No.:

Distributor ARN and Name	Sub Broker ARN Code	Branch/RM Internal Code	EJIN (Refer note below)	For Office use only
ARN				

I/We confirm that the EJIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned.

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

I am a First Time Investor in Mutual Fund Industry.  I am an Existing Investor in Mutual Fund Industry.

Sole / First Applicant's Signature Mandatory

### 1. FIRST APPLICANT'S DETAILS

Name of First Applicant (Should match with PAN Card) PAN (1st Applicant / Guardian)  KYC

Existing Folio Number Name of Guardian if minor PoA PAN  KYC

On behalf of Minor Date of Birth (D D / M M / Y Y Y Y) Date of Birth Guardian named is :  
 (\* Attach Mandatory Documents as per instructions). Minor's Proof attached \*   Father  Mother  Court Appointed

### 2. CONTACT DETAILS AND CORRESPONDENCE ADDRESS

Email ID (in capital) \_\_\_\_\_

Mobile +91 \_\_\_\_\_ Tel (STD Code) \_\_\_\_\_

Address \_\_\_\_\_

Landmark \_\_\_\_\_

City \_\_\_\_\_ Pin Code (Mandatory) \_\_\_\_\_ State \_\_\_\_\_

### 3. KYC DETAILS (Mandatory)

**3a. Status of Sole/1st Applicant** (Please tick )  Indian Resident Individual  Minor (Resident)  Minor NRI  NRI (Repatriable)  NRI (Non-Repatriable)  PIO  
 Sole Proprietorship  HUF - Indian  HUF - NRI  Partnership Firm  Limited Partnership (LLP)  Public Ltd. Co.  Private Ltd. Co.  Body Corporate  Bank  
 FIs  Insurance Companies  Government Body  AOP/BOI  Trust  Society  Provident Fund  Superannuation / Pension Fund  Gratuity Fund  Mutual Fund  
 FII  NPO/NGO  FPI-Category I/II/III  FCRA  GDN  Defence Establishment  NPS Trust  Others \_\_\_\_\_ (Please specify)

**3b. Occupation Details** (Please tick )  Private Sector Service  Public Sector Service  Government Service  Business  
 Professional  Agriculturist  Retired  Housewife  Student  Forex Dealer  Others \_\_\_\_\_ (Please specify)

**3c. Gross Annual Income** (Please tick )  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  >25 Lacs-1 crore  >1 crore  
 Net-worth in (Mandatory for Non-Individuals) ₹ \_\_\_\_\_ as on D D / M M / Y Y Y Y (Not older than 1 year)

**3d. For Individuals**  I am Politically Exposed Person  I am Related to Politically Exposed Person  Not Applicable

**For Non-Individual Investors (Companies, Trust, Partnership etc)**  
 I. Is the company an Indian Listed Company or Subsidiary / Controlled by an Indian Listed Company:  YES  NO  
 (If No, please attach mandatory UBO declaration)  
 II. Foreign Exchange / Money Changer Services  YES  NO  
 III. Gaming / Gambling / Lottery/Casino Services  YES  NO  
 IV. Money Lending / Pawning  YES  NO

### 4. JOINT APPLICANTS (IF ANY) DETAILS

Mode of Holding (Please tick )  Joint (Default)  Anyone or Survivor

2nd Applicant Name (Should match with PAN Card) PAN (2nd Applicant)  KYC

**a. Occupation Details** (Please tick )  Private Sector Service  Public Sector Service  Government Service  Business  
 Professional  Agriculturist  Retired  Housewife  Student  Forex Dealer  Others \_\_\_\_\_ (Please specify)

**b. Gross Annual Income**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  >25 Lacs-1 crore  >1 crore OR Net worth ₹ \_\_\_\_\_

**c. Others** (Please tick )  Politically Exposed Person (PEP)  Related to a Politically Exposed Person (PEP)  Not Applicable

3rd Applicant Name (Should match with PAN Card) PAN (3rd Applicant)  KYC

**a. Occupation Details** (Please tick )  Private Sector Service  Public Sector Service  Government Service  Business  
 Professional  Agriculturist  Retired  Housewife  Student  Forex Dealer  Others \_\_\_\_\_ (Please specify)

**b. Gross Annual Income**  Below 1 Lac  1-5 Lacs  5-10 Lacs  10-25 Lacs  >25 Lacs-1 crore  >1 crore OR Net worth ₹ \_\_\_\_\_

**c. Others** (Please tick )  Politically Exposed Person (PEP)  Related to a Politically Exposed Person (PEP)  Not Applicable

### ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

### DSP BLACKROCK MUTUAL FUND

Received, subject to realisation and verification an application for purchase of Units as mentioned in the application form.

From \_\_\_\_\_

Scheme	Cheque no.	Amount
DSPBR		

Application No. \_\_\_\_\_

**5. FATCA DETAILS For Individuals & HUF (Mandatory)** Non Individual investors should mandatorily fill separate FATCA detail form

Any of the applicants has a Country of Birth / Citizenship / Nationality or Tax Residency, other than India:  Yes  No ? Mandatory to tick any one. If Yes, provide information as required below.

Sole/First Applicant/Guardian		2nd Applicant		<input type="checkbox"/> 3rd Applicant <input type="checkbox"/> POA	
Country of Birth		Country of Birth		Country of Birth	
Country of Citizenship/ Nationality		Country of Citizenship/ Nationality		Country of Citizenship/ Nationality	
Are you a US Specified Person?	<input type="checkbox"/> Yes <input type="checkbox"/> No please provide Tax Payer Id.	Are you a US Specified Person?	<input type="checkbox"/> Yes <input type="checkbox"/> No please provide Tax Payer Id.	Are you a US Specified Person?	<input type="checkbox"/> Yes <input type="checkbox"/> No please provide Tax Payer Id.
Country of Tax Residency# (other than India)	Taxpayer Identification No	Country of Tax Residency# (other than India)	Taxpayer Identification No	Country of Tax Residency# (other than India)	Taxpayer Identification No
1		1		1	
2		2		2	

#Please indicate all countries in which you are a resident for tax purpose and associated Taxpayer Identification number. In case of applications with PoA, the PoA holder should fill separate form to provide the above details mandatorily.

**6. BANK ACCOUNT DETAILS (Avail Multiple Bank Registration Facility)**

Bank Name

Bank A/C No.  A/C Type  Savings  Current  NRE  NRO  FCNR  Others

Branch Address

City  Pin

IFSC code: (11 digit)  MICR code (9 digit)  (This is a 9 digit number next to your cheque number)

**7. INVESTMENT AND PAYMENT DETAILS (Cheque DD should be in favour of "Scheme Name")**

Scheme/Plan /Option/Sub Option **DSP BlackRock -** Scheme **Plan** Option/Sub Option

(Default plan/option/sub option will be applied incase of no information, ambiguity or discrepancy)

One time Lumpsum Investment  SIP: Systematic Investment Plan.  Attach OTM form, if not already registered. Mention First SIP Cheque Details below

Payment Mode:  Cheque  DD  RTGS  NEFT  Funds transfer

Cheque/DD/RTGS/NEFT No.

Amount (Rs.) (i)

DD charges, (Rs.)(ii)

Total Amount (Rs.) (i) + (ii)  In figures

Cheque/RTGS/NEFT/DD Date  D D / M M / Y Y Y Y

Payment from Bank A/c No.  Pay In A/c No.

Bank Name

Branch

Account Type  Savings  Current  NRE  NRO  FCNR

Documents Attached to avoid Third Party Payment Rejection, where applicable:  Bank Certificate, for DD  Third Party Declarations

**8. NOMINATION DETAILS** Individuals (single or joint applicants) are advised to avail Nomination facility.

I/We wish to nominate.  I/We DO NOT wish to nominate and sign here ..... 1st Applicant Signature (Mandatory)

	Nominee Name	Guardian Name (In case of Minor)	Allocation %	Nominee/ Guardian Signature
Nominee 1				
Nominee 2				
Nominee 3				
Address			<b>Total = 100%</b>	

**9. UNIT HOLDING OPTION:**

In Account Statement Mode (default):  In Demat mode: NSDL: I N

Depository Participant (DP) ID (NSDL only)

Beneficiary Account Number (NSDL only)

CDSL:

Enclose for demat option:  
 Client Master List  
 Transaction/Holding Statement  
 DIS Copy

**10. DECLARATION & SIGNATURES**

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum, Instructions and addenda issued by DSP BlackRock Mutual Fund, I / We, hereby apply to the Trustee of DSP BlackRock Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. Where the EUN box is left blank being an execution only transaction, I/we confirm that the transaction is notwithstanding the advice of in-appropriateness, if any, provided by the distributor's employee/relationship manager/sales person and the distributor has not charged any advisory fees on this transaction.

Sole / First Applicant / Guardian

Second Applicant

Third Applicant

POA holder, if any

Email: [service@dspblackrock.com](mailto:service@dspblackrock.com) Website: [www.dspblackrock.com](http://www.dspblackrock.com) Contact Centre: 1800 200 4499

Quick Checklist  Name, Address are correctly mentioned  Full scheme name, plan, option is mentioned  Additional documents provided if investor name is not pre-printed on payment cheque or if Demand Draft is used.

Email ID / Mobile number are mentioned  Pay-In bank details and supportings are attached  Non Individual investors should attach

PAN / KYC / FATCA details are enclosed  Nomination facility opted  FATCA Details and Declaration Form

Form is signed by all applicants  UBO Form Declaration Form