

Please fill in ENGLISH and in BLOCK LETTERS (All Information as applicable in Sections A, B and C below is mandatory)

The information is sought under Prevention of Money Laundering Act, 2002, the rules notified thereunder and SEBI's guidelines on Anti Money Laundering. For existing Mutual Fund investors, the address(es) & contact details furnished herein will be replaced in the records of the Mutual Fund / Authorised Agent. (Refer Notes / Guidelines overleaf)

A. Identity Details (Please see guidelines A1 to A4 overleaf)

1. Name of Applicant (Please write complete name as per Certificate of Incorporation / Registration; leaving one box blank between 2 words. Please do not abbreviate the Name).
2. Date of Incorporation / Registration (DD / MM / YYYY) Whether Listed on NSE
3. Status Please tick (✓) Company Body Corporate Partnership Trust FI FII HUF AOP Bank Government Body
4. Permanent Account Number (PAN) (MANDATORY) Copy of PAN Card attached

B. Address Details (Please see guidelines B1 to B3 overleaf)

1. Address for Correspondence
2. Permanent / Registered Address of Applicant (in case of Overseas Address, Proof of Address is a must) - Mandatory for FIIs
3. Contact Details Contact Person Mr./Ms. Tel. (Off.) (ISD) (STD) Tel. (Res.) (ISD) (STD) Mobile (ISD) (STD) Fax (ISD) (STD) E-Mail Id.

C. Other Details (Please see guidelines C1 and C2 overleaf)

1. Gross Annual Income Details Please tick (✓)
2. Mandatory Certified Documents to be submitted, as applicable (Please tick (✓) against documents attached)
i. Hindu Undivided Family (HUF) Deed of Declaration Latest Bank Passbook / Latest Bank account statement
ii. Company / Body Corporates Certificate of Incorporation Memorandum & Articles of Association Resolution of the Board of Directors
iii. Partnership firms Certificate of registration Partnership deed Documents evidencing authority to invest
iv. Trusts, foundations, NGOs, Charitable Bodies, Clubs / Mutual Fund Schemes Certificate of registration Trust deed Authorised Signatory List with specimen signatures
v. Unincorporated association or a body of individuals Proof of Existence / Constitution Document Documents evidencing authority to invest
vi. Foreign Institutional Investors (FIIs) Letter and Certificate of Registration issued by SEBI Authorised Signatory list with specimen signature
vii. Scheduled Commercial Banks and Registered Financial Institutions not incorporated under the Companies Act, 1956 / Regulatory Bodies / Army / Government Bodies / Any other bodies created / incorporated / registered under state or central legislation being eligible to invest in Mutual Funds

DECLARATION

We hereby confirm that we have read and understood the Instructions mentioned overleaf and apply to CDSL Ventures Limited ('CVL') or other agent of the mutual fund registered under the SEBI (Mutual Funds) Regulations, 1996 for compliance of Know Your Customer (KYC) procedure for transacting in units issued by Mutual Funds and we agree to abide by the terms, conditions, rules, regulations and other statutory requirements applicable to the respective Mutual Funds. We hereby declare that the particulars given herein are true, correct and complete to the best of our knowledge and belief, the documents submitted along with this application are genuine and we are not making this application for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any Notifications, Directions issued by any governmental or statutory authority from time to time. We hereby undertake to promptly inform CVL / the mutual fund agent of any changes to the information provided hereinabove and agree and accept that CVL, the respective Mutual Funds, their authorised agents and representatives ('the Authorised Parties') are not liable or responsible for any losses, costs, damages arising out of any actions undertaken or activities performed by them on the basis of the information provided by us as also due to us not intimating / delay in intimating such changes. We hereby authorize CVL / the mutual fund agent to disclose, share, remit in any form, mode or manner, all / any of the information provided by us to the respective Mutual Funds in which we may transact / have transacted and / or to their authorised agents and representatives including all changes, updates to such information as and when provided by us. We hereby agree to provide any additional information / documentation that may be required by the Authorised Parties, in connection with this application.

SIGNATURE(S) OF AUTHORISED PERSON(S)

Signature box with Place and Date fields.

"Important Notes" disclosed overleaf has to be printed on the reverse side of this form, else the sheet disclosing the same also has to be signed by the applicant.

For Office Use Only

Stamp of POS (Name & Location) & Receiver's Signature

Name and Employee Number of Receiver

(Originals Verified) Self Certified Document copies received
(Attested) True copies of documents received

KYC Ref.

IMPORTANT NOTES - PLEASE READ BEFORE FILLING UP THE FORM

1. This KYC Application Form is for use by **NON-INDIVIDUALS** only. A separate form is provided for **INDIVIDUAL** entity.
2. This form is not an Investment Application Form, and is only meant for providing information and documents required for KYC compliance. Applicant must be KYC compliant while investing with any SEBI registered Mutual Fund which is a **Participating Mutual Fund** for the purpose of the KYC compliance. Participating Mutual Fund means any SEBI registered Mutual Fund which has subscribed to the services of CDSL Ventures Limited (CVL) for compliance of the KYC procedure. A list of Participating Mutual Funds is available on the website of AMFI at www.amfiindia.com.
3. Subscription to Participating Mutual Fund Units may be made only after obtaining the KYC Acknowledgement at their respective designated Points of Acceptance / Investor Service Centres.
4. **Power of Attorney (PoA) Holder:** Investors desirous of investing through a PoA must note that the KYC requirements are mandatory for both the PoA issuer (i.e. Investor) and the Attorney (i.e., the holder of PoA), both of whom should obtain KYC compliance in their independent capacity and quote them while investing.
5. Participating Mutual Funds will not be liable for any errors or omissions on the part of the applicant / Unit holders in the KYC compliance.
6. Participating Mutual Funds require investors to provide their identity and address information, supported by documentary evidence for the "Know Your Client" requirements laid down by the Prevention of Money Laundering Act, 2002 (PMLA). They reserve the right to seek any additional information / documentation in terms of the PMLA at any point of time.
7. The Participating Mutual Fund, its Asset Management Company, Trustee Company and their Directors, employees and agents shall not be liable in any manner for any claims arising whatsoever on account of freezing the folios / rejection of any application / non-allotment of units or mandatory redemption of units / refund due to non-compliance with the provisions of the PMLA, SEBI guidelines or where the AMC / Mutual Fund believes that transaction(s) by an applicant / investors is / are suspicious in nature within the purview of the PMLA and SEBI guidelines and requires reporting the same to Financial Intelligence Unit - India (FIU-IND).
8. Participating Mutual Funds will, on a best effort basis ensure, that the documents received in support of KYC requirements are verified. Acceptance of the Application Form along with document(s) is subject to verification and final confirmation from CVL. In the event of any KYC Application Form being subsequently rejected for lack of information / deficiency / insufficiency of mandatory documentation, the investment transaction will be cancelled and the amount may be redeemed at applicable NAV, subject to payment of exit load, wherever applicable. Such redemption proceeds will be despatched within a maximum period of 21 days from date of acceptance of application. (In case of an ELSS Scheme or a New Fund Offer, allotment will be done only on confirmation from CVL that the KYC compliance is final and if CVL informs that the KYC compliance requirements is cancelled, the original amount invested will be refunded).

GUIDELINES FOR FILLING UP THE KYC APPLICATION FORM

General

1. The Application Form should be completed in **ENGLISH** and in **BLOCK LETTERS**.
2. Please tick in the appropriate box wherever applicable.
3. Please fill the form in legible handwriting so as to avoid errors in your application processing. Please do not overwrite. Corrections should be made by canceling and re-writing, and such corrections should be counter-signed by the applicant.
4. Applications incomplete in any respect and / or not accompanied by required documents are liable to be rejected.
5. Applications complete in all respects and carrying necessary documentary attachments should be submitted at the Designated "Points of Service" (PoS). A complete list of PoS is available on the website of AMFI at www.amfiindia.com

A. Identity Details

1. Name : **This should match exactly with the name as mentioned in the supporting documents; otherwise the application is liable to be rejected. If the supporting document has a name by which the applicant has been known differently in the past, then requisite proof should be provided, e.g. Name Change Certificate.**
2. Please mention the date of incorporation or registration of your organisation. If your company listed on National Stock Exchange of India Limited, please mention the scrip symbol.
3. Please indicate the Status as applicable.
4. It is **MANDATORY** to mention your PAN in the space provided and attach a self attested photocopy of the PAN Card. Also get the original PAN Card which will be returned over the counter after verification.

B. Address Details

1. Address for Communication : Please provide here the address where you wish to receive all communications sent by the Participating Mutual Funds with whom you invest. **The address you give here will supersede existing information in the records of the Participating Mutual Fund / Registrars and Transfer Agent to the Participating Mutual Fund.**
2. Permanent Address / Registered Address / Overseas Address: Fills / other entities must quote the Permanent Overseas Address supported by required documents duly certified.
3. Contact Details: Please provide the Contact Person's Name / Telephone / E-mail contact details for the Participating Mutual Fund to communicate with you.

C. Other Details

1. Gross Annual Income details: Please tick the applicable box indicating your Gross Annual Income (including both taxable and tax-free incomes).
2. All documents indicated here are **MANDATORY**. Please ensure that you submit the documents as under:

- Original Documents + Self-attested photocopies (Originals will be returned over-the-counter after verification) **OR**
- True Copies attested by Company Secretary / Director / Notary Public / Gazetted Officer / Manager of a Scheduled Commercial Bank (Name, Designation and Seal should be affixed on the copy). Unattested photocopies of an original document or of notarised copies are not acceptable
- If the above documents including attestation / certifications are in regional language or foreign language then the same must be translated into English for submission.

After you have completely filled up the Form, please submit the same along with the entire set to the POS. Please also submit a photocopy of the Form for acknowledgement purpose, which you can retain for your records.

Other important notes, after the KYC compliance is allotted to you:

1. Please preserve the document from CVL which states the KYC compliance requirements allotted to you. You will need to attach photocopies of this document when you make your additional investments in any Participating Mutual Fund.
2. If you observe any error in the details as captured by CVL, you are requested to approach the nearest Designated PoS.
3. If you are already holding investments in any of the Participating Mutual Funds, please communicate your KYC compliance to such Funds or their Registrars, giving details of your folio / account numbers with them. Please note that your signature on the KYC Application Form should match with that on the records of the Participating Mutual Fund.
4. In respect of new investors, signature on the Application Form for investing / transacting in Participating Mutual Fund should match with that on this KYC Application Form.
5. Applications for Financial Transactions and Non Financial Transactions, other than Change of Address / Name / Status / Signature / Authorised Signatories must not be submitted at PoS along with KYC application forms, but submitted only at the designated Investor Service Centres / Official Points of Acceptance of Participating Mutual Funds. Applicants / Unitholders must quote their KYC Acknowledgement along with every financial / non financial transaction.
6. After allotment of KYC compliance, if there is any change(s) in the Applicant's details such as Name, Address, Status, Income bracket, Occupation or Signature / Authorised Signatories, the change should be registered with CVL through a designated PoS expeditiously, by using the KYC Modification form. **It should be noted that only after such registration will the change be reflected in the Participating Mutual Fund records. Particularly with respect to change of address, investors should register such change giving 10 days time for the subsequent communications from Participating Mutual Funds to reach them at the new address.** Original / Attested copies of documents supporting the change will be required to be submitted together with the KYC Modification Form.

CHECKLIST

(Before submitting this form, please go through the following checklist)

1. Please ensure that the form is completed in all respects and signed by the authorised signatories.
2. Foreign Institutional Investors must mention their overseas address in B(2).
3. Please attach all required documents. These should be either original + photocopies **or** attested / notarised photocopies.
4. Please submit a photocopy of the duly filled up KYC Application Form.

For assistance or enquiries please approach the Point of Service where you had submitted your KYC Application Form.